

Regards,  
Brad



Bradley Gillin  
Vice President  
Deutsche Bank Wealth Management  
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Securities offered through Deutsche Bank Securities Inc.

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**From:** Vijay-A Sawant  
**Sent:** Monday, September 24, 2018 10:28 AM  
**To:** Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>  
**Cc:** Mayur Rathod <[REDACTED]>; Richard Iarossi <[REDACTED]>  
**Subject:** RE: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc (GCIS#483883), Epstein, Jeffrey E. (GCIS#483289) and Zorro Management, LLC (GCIS#550331) under the SOUTHERN FINANCIAL RELATIONSHIP [I]  
**Importance:** High

Hello Brad/Stewart,

I kind reminder of the below query, just to reiterate, the pending items are listed below for your convenience –

- SoS or COGS (dtd within last 6 months) from US Virgin Islands registry for Zorro Management LLC
- Section b of the attached CDD form for Southern Trust Company, Inc needs to reflect the "Type of Entity", as indicated in the form

Once the above items are received, we can submit this KYC# **01977695** to the next stages for AML approval, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063, India

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**From:** Vijay-A Sawant  
**Sent:** Wednesday, August 22, 2018 7:47 PM  
**To:** Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>