

Alert #3:

Alert is False Positive. Alerted Individual Richard L Kahn is not related to any entity and is a drug dealer as per internal research. Hence no escalation required.

NOTE: PCR is NO HIT

Kind regards,



Syed-Anzar Ahmed
Process Supervisor | COB - RDC LOOKBACK TEAM

DBOI Global Services Private Limited
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VTP Electronic City, Hosur Road, 560100 Bangalore, India

Passion to Perform

Manikandan Muralidharan---12/19/2014 08:23:07 PM---Classification: For internal use only Hi Team, Request you review the RDC hit received on "Richard K

From: Manikandan Muralidharan/db/dbcom
To: CAGNY NCA/db/dbcom@DBAmericas,
Cc: Syed-Anzar Ahmed/db/dbcom@DBAPAC, Suresh-x Salimath/db/dbcom@DBAMERICAS, Jofin Varghese/db/dbcom@DBAMERICAS, Sagar Prakash/db/dbcom@DBAMERICAS, Kameshwaran Ns/db/dbcom@DBAPAC, Anantesh Anand/db/dbcom@DBAMERICAS
Date: 12/19/2014 08:23 PM
Subject: Fw: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC - RDC alert Review - Very Urgent [1]

Classification: For internal use only

Hi Team,

Request you review the RDC hit received on "Richard Kahn, one of the board of director of Parent entity, Southern Trust Company Inc.

(See attached file: Additional AML checks on Parent entity, board of director.pdf)

Additional document

(See attached file: 5 - SFLLC UBO.PDF)

Thanks and Regards,