

5022 Gate Parkway, Suite 500, 32256 Jacksonville, FL, USA

Tel. + [REDACTED]

Fax [REDACTED]

Email [REDACTED]

Please send all GED related emails to: [REDACTED]

*Passion to Perform*

**From:** Dmitri Saks

**Sent:** Thursday, December 18, 2014 1:23 PM

**To:** Anastasia Pringle

**Cc:** Ged Account-Opening; US-CB S-AML; Manikandan Muralidharan

**Subject:** RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Anastasia,

Thank you, this is helpful. However, a proof of appointment of the Board of Southern Trust Company, Inc. (Jeffrey Epstein, Darren K. Indyke, Richard Kahn) is still required. This is because they signed the UBO confirmation document stating that Mr. Epstein is the sole shareholder of Southern Trust Company, Inc.

Also, Darren K. Indyke and Richard Kahn need to be identified as control persons in addition to Jeffrey Epstein, and the PCR/RDC checks for them need to be run and documented. This is because they control Southern Trust Company, Inc. which is the sole member of Southern Financial LLC.

Thanks and regards,  
Dmitri



**Dmitri Saks**

AML Compliance Officer

**Deutsche Bank Securities Inc.**

60 Wall Street, New York, NY 10005-2836

Tel: [REDACTED]

E-mail: [REDACTED]

*Passion to Perform*

**From:** Anastasia Pringle

**Sent:** Thursday, December 18, 2014 12:24 PM

**To:** Manikandan Muralidharan; Dmitri Saks

**Cc:** Ged Account-Opening

**Subject:** RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

**Importance:** High

Classification: **For internal use only**

Mani/Dmitri

Please see the attached documents.