
From: Stewart Oldfield

Sent: Thursday, November 01, 2018 10:35 AM

To: Mayur Rathod [REDACTED]

Cc: Richard Iarossi [REDACTED]; Bradley Gillin [REDACTED]; Vinita Advani [REDACTED]; Vijay-A Sawant [REDACTED]

Subject: RE: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

No update on Gratitude. I expect to have one today

From: Mayur Rathod

Sent: Thursday, November 01, 2018 10:34 AM

To: Stewart Oldfield [REDACTED]

Cc: Richard Iarossi [REDACTED]; Bradley Gillin [REDACTED]; Vinita Advani [REDACTED]; Vijay-A Sawant [REDACTED]

Subject: RE: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Classification: **For internal use only**

Hi Stew,

We did get LPOA for Paul Barrett, but for other accounts.

We have it now for J. Epstein Virgin Islands Foundation Inc. – highlighted in **GREEN** below. All the other rejection items are pending.

Also, do we have an update on Gratitude America Ltd. – are client willing to provide the documents for BV70 LLC – a significant donor in this KYC, or are they going to close the account. Please confirm.

Kindly assist with the below.

Thanks in advance.

Regards



Mayur Rathod

KYC Case Representative

Deutsche CIB Centre Private Limited

Wealth Management

Floor 1, B1, DB Centre Mumbai

Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India

From: Stewart Oldfield

Sent: Wednesday, October 31, 2018 6:46 PM

To: Mayur Rathod [REDACTED]

Cc: Richard Iarossi [REDACTED]; Bradley Gillin [REDACTED]; Vinita Advani [REDACTED]; Vijay-A Sawant [REDACTED]

Subject: Re: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]