

Pretty sure we have already provided some of these. I still need to talk to the client about the remaining items. Have been away for 3 days in sales training with Brad, hope to call client today or tmw.

Stewart Oldfield, CFA, CAIA  
Director

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On Oct 31, 2018, at 9:13 AM, Mayur Rathod <[REDACTED]> wrote:

Classification: **For internal use only**

Hi Rich,

Hope all is well.

Following up on the below email sent by Vijay regarding pending rejection items.

Do we have any update on the same.

Thanks & Regards

<image001.gif>

**Mayur Rathod**

KYC Case Representative  
Deutsche CIB Centre Private Limited  
Wealth Management  
Floor 1, B1, DB Centre Mumbai  
Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India  
Tel.: + [REDACTED]  
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**From:** Vijay-A Sawant  
**Sent:** Friday, October 26, 2018 2:37 PM  
**To:** Stewart Oldfield <[REDACTED]>  
**Cc:** Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>; Alka Babu <[REDACTED]>; Mayur Rathod <[REDACTED]>; Vinita Advani <[REDACTED]>  
**Subject:** RE: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP  
**Importance:** High

Hi Stewart,

For Hyperion LLC and J Epstein Virgin Islands Foundation Inc in rejected KYC 01977699, we require the following items mentioned in red fonts –