

[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Vijay-A Sawant

Sent: Wednesday, September 26, 2018 6:02 AM

To: Stewart Oldfield [REDACTED]

Cc: Bradley Gillin [REDACTED]

Richard Iarossi [REDACTED]

Mayur Rathod [REDACTED]

Alka Babu [REDACTED]

Subject: RE: Southern Financial

Importance: High

Hello Stewart,

We have some good news in that regard. We have had 2 KYC's ([REDACTED]) which got approved in this past week. These cases cover high risk accounts **Prytance, LLC**, [REDACTED] **Neptune, LLC & Butterfly Trust**.

We are in the process of submitting more cases on top of cases already submitted which are under review with AML at the moment, e.g. **Epstein, Jeffrey E., Southern Trust Company, Inc and Zorro Management LLC**, etc.

We are awaiting docs for the following entities from this relationship for high risk accounts only –

- **Gratitude America Ltd** (Docs of significant donor)
- **Jeezers Inc** (COGS for acct holder)
- **Plan D, LLC** (COGS for acct holder)

Additionally we require following info from you to complete the cases in DBForce:

Purpose of the account required for following DB accts –

1. JEJE, INC Deposit acct # [REDACTED]
2. JEJE, LLC Deposit acct # [REDACTED]
3. JEEPERS INC Brokerage acct# [REDACTED]
4. PLAN D, LLC Deposit acct #4 [REDACTED]
5. The 2007 Jeffrey E. Epstein Insurance Deposit acct# [REDACTED]
6. NES, LLC Deposit acct# [REDACTED]
7. THE HAZE TRUST Deposit accts# [REDACTED]

Finally, Estimated Annual Gross Income (Entity) and Estimated Annual Net Profit required for the following account holders:-

- **The 2007 Jeffrey E. Epstein Insurance Trust#3**
- **The Haze Trust**
- **NES, LLC**
- **Plan D, LLC**

We will be able to submit all the remaining cases from this relationship once the above info & docs are received. Let me know if any queries, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway

Goregaon East, Mumbai - 400063, India