
From: Stewart Oldfield [redacted]
Sent: 1/18/2019 9:45:29 AM
To: Richard Kahn [redacted]
BCC: [redacted]; [redacted]
Subject: RE: Annual EBC Notification-2019

It's not asking you to do anything, just an annual notice. At some point we will terminate the ISDA and related DF docs.

Thanks

From: Richard Kahn [mailto:redacted]
Sent: Friday, January 18, 2019 9:43 AM
To: Stewart Oldfield <[redacted]>
Subject: Fwd: Annual EBC Notification-2019

i assume this is irrelevant as well as we are exiting bank..
please advise
thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [redacted]
fax [redacted]
cell [redacted]

Begin forwarded message:

From: DF Protocol <[redacted]>
Subject: Annual EBC Notification-2019
Date: January 17, 2019 at 5:32:43 PM EST
To: DF Protocol <[redacted]>

Dear Valued Client,

Please see the attached important reminder regarding the Deutsche Bank Dodd-Frank Resource Centre(www.db.com/doddfrank) and certain disclosures that Deutsche Bank as a registered swap dealer is required to provide to US-Person counterparties when entering into swap transactions. If you are an agent, you are being sent this notice on behalf of all accounts, clients, funds, investors and/or other principals that you represent and that are US-Person counterparties of Deutsche Bank.

Thanks & Regards,
DF Protocol
Dodd Frank – Regulatory Operations
Tel: [redacted] | Email: [redacted]