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**From:** Wayne Salit [wayne.salit@db.com]  
**Sent:** 12/23/2014 8:52:32 AM  
**To:** John Juul [john.juul@db.com]  
**Subject:** FW: EDD package for the RID : [REDACTED] Party name : Southern Financial LLC [I]

Classification: **For internal use only**

John –

Here is the Director-level business person who is sponsoring this account:

- **Courteney B. Fornal**

Based on this information, who would be the right senior business person to escalate this matter?

Note: The Corporate Directory does not list any manager or corporate hierarchy for Ms. Fornal.

Kind Regards,  
Wayne Salit

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**From:** Carlos-A Garcia  
**Sent:** Tuesday, December 23, 2014 8:15 AM  
**To:** Wayne Salit  
**Cc:** Carlos-A Garcia; Dmitri Saks  
**Subject:** FW: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Hello Wayne,

From the information listed below, it appears that Courteney B Fornal is the head for this request.

Kind regards,

Carlos Garcia

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**From:** Carlos-A Garcia **On Behalf Of** US-CB S-AML  
**Sent:** Tuesday, December 23, 2014 8:13 AM  
**To:** Carlos-A Garcia  
**Subject:** Fw: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: For internal use only

----- Forwarded by Carlos-A Garcia/db/dbcom on 12/23/2014 08:12 AM -----

**From:** Courteney B Fornal/db/dbcom@DBCOEX  
**To:** Anastasia Pringle/db/dbcom, US-CB S-AML/db/dbcom@DBAMERICAS, Mark Whyman/db/dbcom,  
**Cc:** Ged Account-Opening/db/dbcom@DBAmericas, Manikandan Muralidharan/db/dbcom@DBAPAC