

To:  
Cc: [REDACTED]  
Date: 12/19/2014 08:01 PM  
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

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Classification: **For internal use only**

Hello Dmitri,

Please see the background checks for the additional 2 CP's.

Please give an update to this request as soon as you can.

Thank you



Kind Regards,

Mrs. Anastasia Pringle

Deutsche Bank Securities Inc.  
Client Onboarding Equities  
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Tel. + [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

Please send all GED related emails to: [REDACTED]

*Passion to Perform*

**From:** Dmitri Saks  
**Sent:** Thursday, December 18, 2014 1:23 PM  
**To:** Anastasia Pringle  
**Cc:** Ged Account-Opening; US-CB S-AML; Manikandan Muralidharan  
**Subject:** RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

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Anastasia,

Thank you, this is helpful. However, a proof of appointment of the Board of Southern Trust Company, Inc. (Jeffrey Epstein, Darren K. Indyke, Richard Kahn) is still required. This is because they signed the UBO confirmation document stating that Mr. Epstein is the sole shareholder of Southern Trust Company, Inc.

Also, Darren K. Indyke and Richard Kahn need to be identified as control persons in addition to Jeffrey Epstein, and the PCR/RDC checks for them need to be run and documented. This is because they control Southern Trust Company, Inc. which is the sole member of Southern Financial LLC.