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Sent: 5/16/2019 1:24:47 PM
To: Patrick Davis [REDACTED]
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Subject: AM KYC 2018 & 2019 MI Details [I]
Attachments: DeAM-MIS-05-15-2019.xls

Classification: **For internal use only**

Hi Patrick,

Enclosed is the AM KYC (PR,NCA & EDR) MI for 2018 and 2019 as of May 15th 2019.

For detailed overview, we have added the following MI:

1. NCA 2018 & 2019 snapshot.
2. Status based on NRD (Next Review Date) for Periodic Reviews.
3. Aging of cases (Jan 1st – May 15th) basis last action date.
4. Aging of Event Driven Review cases (Jan 1st – May 15th) basis submission date.

Movement from May 13th to May 15th

1. COB to AFC - 2
2. COB to Business - 18
3. Complete /Cancelled - 25

Total client moved - 45

Highlights:-

1. In addition to the regular review:
 - o Team has also worked on 3 OFAC searches.

Response received from May 13th to May 15th

1. Business to COB – 10
2. AFC to COB – 5

New Client Adoption – 2018 – 2019