

Months	High	Medium	Low	Total	Completed	Completion % based on NRD	Not Submitted	Outstanding with			Outstanding as per risk rating		
								CAM	JAX-KYC	Compliance	Low	Medium	High
Jan	1	10	4	15	15	100.00	0	0	0	0	0	0	0
Feb	9	6	106	121	121	100.00	0	0	0	0	0	0	0
Mar	1	18	152	171	168	98.25	2	0	1	0	3	0	0
Apr	6	23	140	169	161	95.27	7	0	1	0	7	0	1
May	3	6	87	96	73	76.04	11	3	7	2	19	3	1
Jun	3	19	112	134	23	17.16	47	57	7	0	91	17	3
Jul	6	38	66	110	9	8.18	86	14	1	0	63	33	5
Aug	5	8	54	67	4	5.97	60	3	0	0	51	7	5
Sep	3	12	49	64	9	14.06	55	0	0	0	41	11	3
Oct	4	23	44	71	12	16.90	58	1	0	0	39	16	4
Nov	3	17	12	32	5	15.63	26	0	1	0	9	15	3
Dec	2	29	14	45	1	2.22	44	0	0	0	13	29	2
Total	46	209	840	1095	601	54.89%	396	78	18	2	336	131	27

Note :

1. In the above mentioned list, outstanding as per risk rating is a combination of 'Outstanding with' and 'Not Submitted'.

Ageing of cases (Jan 2nd – May 15th) Outstanding with COB, Business and AFC :

DeAM	Aging in days per last action						Total
	0-15	16-30	31-60	61-90	91-120	121 =<	
CAM	1	0	0	0	0	0	1
JAX-KYC	1	3	1	0	0	0	5
Compliance (AFC)	0	0	0	0	0	0	0

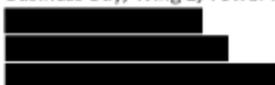
Event Driven Review cases (Jan 2nd – May 15th), which are pending with COB, Business and AFC:

EDR-2019									
Month	Not submitted	Overdue	Pending			Completion-Risk wise			
			CAM	JAX-KYC	Compliance	High	Medium	Low	Completed
Jan	1	0	3	0	0	0	0	1	1
Total	1	0	3	0	0	0	0	1	1

Regards,



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