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**From:** Bradley Gillin [REDACTED]  
**Sent:** 8/13/2018 9:54:28 AM  
**To:** Vijay-A Sawant [REDACTED]; Stewart Oldfield [REDACTED]  
**CC:** Alka Babu [REDACTED]  
**Subject:** RE: 2018 Periodic Review of Accts NES, LLC (GCIS# [REDACTED]), Plan D, LLC (GCIS# [REDACTED]), The Haze Trust (GCIS# [REDACTED]) & The 2007 Jeffrey E. Epstein Insurance Trust#3 (GCIS# [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Classification: **For internal use only**

Vijay,

Big thank you. Is this all of the remediation due for the Southern Trust Relationship or do you see additional KYC's coming up – I would like to go back to them with one email. Thanks.

Regards,  
Brad

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**From:** Vijay-A Sawant  
**Sent:** Monday, August 13, 2018 9:05 AM  
**To:** Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]  
**Cc:** Alka Babu [REDACTED]  
**Subject:** 2018 Periodic Review of Accts NES, LLC (GCIS# [REDACTED]), Plan D, LLC (GCIS# [REDACTED]), The Haze Trust (GCIS# [REDACTED]) & The 2007 Jeffrey E. Epstein Insurance Trust#3 (GCIS# [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP  
**Importance:** High

Hello Brad/Stewart,

This review relates to above high risk accounts which are due for remediation in Aug & Sept 2018.

Upon review of all docs from the repositories and attachments, we have completed attached checklists for which please see assessment below –

**Acct Holder – NES, LLC**

Sole Member, Sole Shareholder & Authorized Signatory – **Jeffrey E. Epstein**

Authorized Signatory - **Darren Indyke**

Authorized Signatory – **Harry Beller**

Kindly confirm if there are any material changes in this account?

Please note there is some discrepancy in the Corporate Resolutions available for this account, attached both docs (titled "Resolution 2014-1" and "Resolution 2014"). In one of the docs, Harry Beller is missing and included in the other. Unfortunately, the doc in which he is included does not have the 1<sup>st</sup> page and we are unable to link this doc to this entity even though it was signed on the same date & by same party. The evidence for this was also found in the last approved KYC# [REDACTED] where Mr Beller IS included as an authorized signatory. Kindly advise if ok to include him in the new case as well?

Finally Fincen CDD form is required for this account, so kindly forward the signed doc, when available.

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**Acct Holder – Plan D, LLC**

Sole Member, Sole Shareholder & Authorized Signatory - **Jeffrey E. Epstein**

Authorized Signatory - **Darren Indyke**

Authorized Signatory – **Harry Beller**