

Deutsche Bank Securities Inc.  
Client Onboarding Equities  
5022 Gate Parkway, Suite 500, 32256 Jacksonville, FL, USA

Please send all GED related emails to: [REDACTED]

*Passion to Perform*

**From:** Dmitri Saks **On Behalf Of** US-CB S-AML  
**Sent:** Monday, December 22, 2014 5:18 PM  
**To:** Anastasia Pringle  
**Cc:** Ged Account-Opening; Manikandan Muralidharan; US-CB S-AML  
**Subject:** RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: For internal use only

Hello Anastasia,

Could you please help to identify the Head of the Business through which this client is being onboarded. AML Compliance needs to have a discussion prior to approving this account.

Thank you.



US CB&S AML Compliance  
AML Compliance

Deutsche Bank Securities Inc.  
Compliance

*Passion to Perform*

☞ Anastasia Pringle---12/22/2014 10:43:18 AM---Classification: For internal use only Good morning,

**From:** Anastasia Pringle/db/dbcom@DBCOEX  
**To:** US-CB S-AML/db/dbcom@DBAMERICAS,  
**Cc:** Ged Account-Opening/db/dbcom@DBAmericas, Manikandan Muralidharan/db/dbcom@DBAPAC  
**Date:** 12/22/2014 10:43 AM  
**Subject:** RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**