
From: Bradley Gillin [REDACTED]
Sent: 10/23/2018 9:34:59 AM
To: Liam Osullivan [REDACTED]
CC: Stewart Oldfield [REDACTED]; Vijay-A Sawant [REDACTED]; Vinita Advani [REDACTED]; Mayur Rathod [REDACTED]
Subject: Southern Financial LLC brokerage account [I]

Classification: **For internal use only**

Liam,

See below. Do you see the resolution on NETX? Thanks.

Regards,
Brad

From: Mayur Rathod
Sent: Tuesday, October 23, 2018 5:17 AM
To: Bradley Gillin <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Vijay-A Sawant <[REDACTED]>; Vinita Advani <[REDACTED]>
Subject: Assistance required in resolving Rejection Points - KYC # [REDACTED]

Classification: **For internal use only**

Hi Brad,

Good Morning!!

We require your assistance in resolving below rejection point –

1. Signing authority for Southern Financial LLC brokerage account: Please address discrepancies between the KYC and NetX. The attached LLC resolution is for the banking account only. In addition NETX has the signatories as Jeffrey Epstein, Jean Anne Wiebracht, Harry Beller, Darren Indyke and Paul Barrett has limited trading authority. The KYC only lists Jeffrey Epstein, Jean Anne Wiebracht and Darren Indyke. Please help in getting Corporate resolution which shows Jeffrey Epstein, Jean Anne Wiebracht, Harry Beller, Darren Indyke as signatories and Paul Barrett having limited trading authority.

Thanks & Regards



Mayur Rathod
KYC Case Representative
Deutsche CIB Centre Private Limited
Wealth Management
Floor 1, B1, DB Centre Mumbai
Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India
Tel.: [REDACTED]
Email: [REDACTED]