

New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

From: Alka Babu
Sent: Thursday, November 01, 2018 10:32 AM
To: Bradley Gillin [REDACTED]
Cc: Vinita Advani [REDACTED]; Vijay-A Sawant [REDACTED]; Stewart Oldfield [REDACTED]; Richard Iarossi [REDACTED]
Subject: RE: Assistance required in resolving points - KYC # [REDACTED]

Classification: **For internal use only**

Hi Brad,

Good Morning, a kind reminder on the only pending information needed for this case to be re-submitted.

c. Please identify the legal reps and update the entity details page to reflect this information. The Operating Agreement states the company is manager-managed. An individual named Lawrence Visoski is identified as the initial manager. Is he still the manager of the company? Legal reps must be identified, verified, and added as parties to the KYC. Diligence searches are also required for legal reps. - **We need your assistance on the status of Lawrence Visoski.**

Reaching out to client. Will revert.

Kind regards,



Alka Babu

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India

From: Bradley Gillin
Sent: Wednesday, October 24, 2018 11:16 PM
To: Alka Babu [REDACTED]
Cc: Vinita Advani [REDACTED]; Vijay-A Sawant [REDACTED]; Stewart Oldfield [REDACTED]
<stewart.oldfield@db.com>; Richard Iarossi <richard.iarossi@db.com>
Subject: RE: Assistance required in resolving points - KYC # [REDACTED] [1]

Classification: **For internal use only**

Alka,