

Ganesh Balaji [REDACTED]

Bharath-Kumar MS ([REDACTED])

For Escalations please contact

Level 1 - Karthik [REDACTED]

Level 2 - Balaji [REDACTED]

Passion to Perform

----- Forwarded by Manikandan Muralidharan/db/dbcom on 12/19/2014 08:53 PM -----

From: Syed-Anzar Ahmed/db/dbcom -
To: [REDACTED]
Cc: [REDACTED]
Date: 12/19/2014 08:42 PM
Subject: Re: Fw: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC - RDC alert Review - Very Urgent [!]

Classification: For internal use only

Hello All,

Please find the details below:

NO ESCALATION REQUIRED

1) Richard Kahn

Alert #1:

Alert is False Positive. Mismatch Individuals. Our client name is Richard Kahn who is controlling person of Southern Financial LLC. Whereas, Alerted Individual name is Richard Jeffrey Kahn who is [REDACTED] and was [REDACTED]

<http://www.bizjournals.com/southflorida/search?q=%22Jeffrey+Kahn%22&title=%3Fiframe%3Dtrue&width=95%25&height=95%25+title%3D+title%3D+title%3D+title%3D%20title=>

Alert #2:

Alert is False Positive. Mismatch Individuals. Alerted Individual Name is Richard Kahn who [REDACTED]

https://www.google.co.in/url?url=https://www.nyse.com/pdfs/12-ARCA-3.pdf&rct=j&frm=1&q=&esrc=s&sa=U&ei=MD6UVledDsWPuAT924DoCQ&ved=0CBMQFjAA&usq=AFQjCNH6TerGChaK_BRHqY5DUNOCAX7ziw

Alert #3:

Alert is False Positive. Alerted Individual Richard L Kahn is not related to any entity and is a [REDACTED] as per internal research. Hence no escalation required.

NOTE: PCR is NO HIT

Kind regards,
