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**From:** Wayne Salit [REDACTED]  
**Sent:** 1/7/2015 10:42:39 AM  
**To:** Michael Koplowitz [REDACTED]  
**CC:** John Juul [REDACTED]; Kerrian Gordon [REDACTED]; Dmitri Saks [REDACTED]; Carlos-A Garcia [carlos-a.garcia@db.com]  
**Subject:** RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Michael –

Thanks for the information.

It would be helpful to know when Southern Financial LLC was previously onboarded with CB & S and within which business line – CF, GM or CRE?

Kind Regards,  
Wayne Salit

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**From:** Michael Koplowitz  
**Sent:** Wednesday, January 07, 2015 10:08 AM  
**To:** Wayne Salit  
**Cc:** John Juul  
**Subject:** RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Hi,

I reached out to Ted Gonedes who is at home in London. His wife gave birth last week. According to his email, Ted is concerned with regard to reputational risk and would like to have a conversation with Courtney Fornal upon his return next Tuesday. Please see attached. I am told that this client was previously on boarded with CB&S and has open trades across several asset classes. In the interim, I am trying to track down the scope of these trades. Thanks.

Regards,  
Mike

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**From:** Wayne Salit  
**Sent:** Tuesday, January 06, 2015 6:11 PM  
**To:** Michael Koplowitz  
**Cc:** John Juul  
**Subject:** FW: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Michael –

Here is the latest e-mail from the business.

Please let me know if you have been able to reach Ted Gonedes on this matter. In addition, I cc'd you on an e-mail I sent to WM recommending that this matter be discussed at the Americas Rep Risk Committee. However, I have not heard back from WM.