

Passion to Perform

▼ Sujith Shetty---12/15/2014 03:49:38 PM---Classification: For internal use only Hi Team, Attached below is the EDD Package for RID - ONB - 1

Sujith Shetty/ [REDACTED]

From:

[REDACTED]

To:

Manikandan Muralidharan/ [REDACTED], Jofin Varghese/ [REDACTED], CAGNY NCA/ [REDACTED]

Cc:

12/15/2014 03:49 PM

Date:

EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Subject:

Classification: For internal use only

Hi Team,

Attached below is the EDD Package for RID - **ONB - 120902 Southern Financial LLC**. Captioned request is principal party set up and it is a Private Investment Vehicle. Request is raised for DBSI- Equity - custody/Margin (Type 1).

We are escalating the request as Entity is Private Investment Vehicle.

Kameshwaran has reviewed the alerts and the same has been attached for your reference.

"Jofin reviewed and QA'd this EDD escalation package and confirms that all appropriate documentation is being escalated."

The request has been escalated to AML Compliance. Kindly do the needful.

EDD Template and 5 AML answers

[attachment "EDD template and 5 AML answers.pdf" deleted by Dmitri Saks/ [REDACTED]]

CIP Document

[attachment "1 - CIP Doc.pdf" deleted by Dmitri Saks/ [REDACTED]]

Physical Email

[attachment "CIP e-mail.pdf" deleted by Dmitri Sak/ [REDACTED]]

CP Proof

[attachment "CP Proof.pdf" deleted by Dmitri Saks/ [REDACTED]]

UBO Proof