

L1 Client	L1 Client ID	L1 Client RDS ID	L4 Client	L4 Client ID	L4 Client RDS ID
Southern Financial Group INC,US	[REDACTED]	[REDACTED]	Southern Financial Group INC,US	[REDACTED]	[REDACTED]

I look forward to hearing from you.

Kind regards,
Isabel.

Isabel Gonzalez
ICG Sales COO Team
Deutsche Bank AG, Filiale London

Winchester House, 1 Great Winchester Street
Tel: [REDACTED] | EC2N 2DB London

From: Christopher Whyton
Sent: 01 February 2017 13:45
To: Vahe Stepanian
Cc: Isabel-A Gonzalez; Stewart Oldfield; Alastair Mackinlay; Iain Macara
Subject: RE: Remediation 2015-2016_ ACO queries.xlsx [I]

Thanks Vahe, ok noted.

Iain – FYI.

From: Vahe Stepanian
Sent: 01 February 2017 13:42
To: Christopher Whyton
Cc: Isabel-A Gonzalez; Stewart Oldfield; Alastair Mackinlay
Subject: RE: Remediation 2015-2016_ ACO queries.xlsx [I]

Classification: **For internal use only**

Hi Chris – we are actually offboarding Southern Financial in GM. Let us know if you need anything else.

Best,
Vahe

From: Christopher Whyton
Sent: Wednesday, February 01, 2017 8:38 AM
To: Vahe Stepanian
Cc: Isabel-A Gonzalez
Subject: RE: Remediation 2015-2016_ ACO queries.xlsx

Hi Vahe

Hope you're well. I'm working on the ACO workstream in GM and have been made aware of the below client (in yellow) that is due for KYC remediation but doesn't have an ACO assigned. Are you able to propose an ACO?

Isabel (cc'd) needs to feed the ACO name back to COB/outreach fairly urgently as the stance taken is that any clients without an ACO should be moved to the red list for offboarding.