
From: Wayne Salit [REDACTED]
Sent: 1/6/2015 6:10:50 PM
To: Michael Koplowitz [REDACTED]
CC: John Juul [REDACTED]
Subject: FW: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Michael –

Here is the latest e-mail from the business.

Please let me know if you have been able to reach Ted Gonedes on this matter. In addition, I cc'd you on an e-mail I sent to WM recommending that this matter be discussed at the Americas Rep Risk Committee. However, I have not heard back from WM.

Would you mind if I told Courtney that neither you nor I are comfortable from a Rep Risk perspective and asked you to reach out to Ted for his view on this matter.

Let me know.

Kind Regards,
Wayne Salit

From: Dmitri Saks
Sent: Monday, January 05, 2015 12:01 PM
To: Courtney B Fornal
Cc: Ged Account-Opening; Manikandan Muralidharan; US-CB S-AML; Mark Whyman; Anastasia Pringle
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

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Courtney,

This requires a discussion between the AML and the Business Senior management. The delay is due to the challenge in coordinating this effort because of the Holiday schedule.

Thanks and regards,
Dmitri



Dmitri Saks
AML Compliance Officer

Deutsche Bank Securities Inc.
60 Wall Street, New York, NY 10005-2836
Tel: [REDACTED]
E-mail: [REDACTED]