

Kind Regards,

Mrs. Anastasia Pringle

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Client Onboarding Equities
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Please send all GED related emails to: [REDACTED]

Passion to Perform

From: Dmitri Saks **On Behalf Of** US-CB S-AML
Sent: Monday, December 22, 2014 5:18 PM
To: Anastasia Pringle
Cc: Ged Account-Opening; Manikandan Muralidharan; US-CB S-AML
Subject: RE: EDD package for the RID : [REDACTED] Party name : Southern Financial LLC [I]

Classification: For internal use only

Hello Anastasia,

Could you please help to identify the Head of the Business through which this client is being onboarded. AML Compliance needs to have a discussion prior to approving this account.

Thank you.



US CB&S AML Compliance
AML Compliance

Deutsche Bank Securities Inc.
Compliance

Passion to Perform

▼ Anastasia Pringle---12/22/2014 10:43:18 AM---Classification: For internal use only Good morning,

From: [REDACTED]
To: [REDACTED]
Cc: Ged Account-Opening/db/dbcom@DBAmericas, Manikandan Muralidharan/db/dbcom@DBAPAC
Date: 12/22/2014 10:43 AM
Subject: RE: EDD package for the RID [REDACTED] Party name : Southern Financial LLC [I]