
From: Andrew Gallivan [REDACTED]
Sent: 8/19/2016 8:22:50 AM
To: Vaishali-P Mehta [REDACTED]
CC: Mark Gonzalez [REDACTED]; Stewart Oldfield [REDACTED]; Joseph Sabbagh
Subject: RE: MD approval required for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Approved

From: Vaishali-P Mehta
Sent: Thursday, August 18, 2016 5:30 PM
To: Andrew Gallivan
Cc: Mark Gonzalez; Stewart Oldfield; Joseph Sabbagh
Subject: RE: MD approval required for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Good Evening Andrew,

Could you please review the below ACUs and provide your approval ?

Regards,

Vaishali Mehta
Head of Anti-Money Laundering Business Risk
Deutsche Bank Wealth Management
101 California Street, Suite 2400, San Francisco, CA USA
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

From: Vaishali-P Mehta
Sent: Wednesday, July 27, 2016 12:58 PM
To: Andrew Gallivan
Cc: Mark Gonzalez; Stewart Oldfield; Joseph Sabbagh
Subject: MD approval required for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Good afternoon Andrew,

Based on new procedures, your approval is required for the following ACU's completed for High Risk Clients that were submitted this month for the below clients:

Please let me know if you have any questions.

| Customer Owner | GCS Customer Number | MD | Customer Name | Annual Client Update: | A14. | A15. Client Status Update | A16. | A17. | A17. |
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