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**From:** Richard Kahn [REDACTED]  
**Sent:** 10/18/2016 11:44:03 AM  
**To:** Darlene Zong [REDACTED]  
**CC:** Stewart Oldfield [REDACTED]; Daniel Sabba [REDACTED]  
**Subject:** Re: [C]

thank you

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]  
cel [REDACTED]

On Oct 18, 2016, at 11:43 AM, Darlene Zong <[REDACTED]> wrote:

Classification: **Confidential**

The Haze Trust sold \$3mm face BAC 8.125 preferred (060505DT8)@ 102.875, ytc 6.1668%.  
Orders complete.

Best,  
Darlene

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**From:** Darlene Zong  
**Sent:** Tuesday, October 18, 2016 10:42 AM  
**To:** 'Richard Kahn'  
**Cc:** Stewart Oldfield; Daniel Sabba  
**Subject:** RE: [C]

Classification: **Confidential**

Just about to give you an update –  
Southern Financial LLC sold \$3mm face FTR 8.5 2020 @ 106.65, ytw 6.34%  
Nothing on BAC pfd yet, still working at 102.75 ob

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**From:** Richard Kahn [mailto:[REDACTED]]  
**Sent:** Tuesday, October 18, 2016 10:33 AM  
**To:** Darlene Zong  
**Cc:** Stewart Oldfield; Daniel Sabba  
**Subject:** Re: [C]

please refresh on any partial fills and current bid asks  
i see FTR trading 107+  
thank you