
From: Vijay-A Sawant [REDACTED]
Sent: 7/30/2018 5:09:15 AM
To: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]
CC: Mayur Rathod [REDACTED]
Subject: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc (GCIS#483883), Epstein, Jeffrey E. (GCIS#483289) and Zorro Management, LLC (GCIS#550331) under the SOUTHERN FINANCIAL RELATIONSHIP
Attachments: Southern Trust Company, Inc. Checklist 2018.xlsx; CDD Southern Trust Company Inc.pdf; Jeffrey ID - DL.pdf; Zorro Management, LLC Checklist 2018.xlsx; CDD Form Zorro.pdf
Importance: High

Hello Brad/Stewart,

This review relates to above high risked three accounts due for remediation 08/31/2018.

Upon review of the docs available in the repositories, I have completed the attached checklist, main RP's also listed below for reference –

Acct Holder ----- **Southern Trust Company, Inc**
President, Sole Shareholder & Authorized Signatory - **Jeffrey Epstein**
Vice President, Secretary & Authorized Signatory ----- **Darren K. Indyke**
Treasurer ----- **Richard Kahn**
SOW Company ----- **Financial Trust Company**

Kindly advise whether are above identified RP's are correct or not and whether there are any material changes in this account?

We require SoS extracted from British Virgin Islands website for the account holding entity. We are unable to extract the same as there is a cost involved with the request, so kindly provide the same. Note we already have the COE (Certificate of Existence) for this entity. The SoS is required so we can evidence that this entity is still registered with the authorities and is active.

Regarding CDD form, already provided on this account, (also attached in this email for reference), please note that under section c, Jeffrey Epstein's legal address is incorrect. It seems they have provided the entity address here instead of Jeffrey's personal address. I am attaching Jeffrey's driver's license which confirms his personal address. Request you to kindly have that amended by the client & re-send revised form accordingly.

Acct Holder – **Epstein, Jeffrey E.**
SOW Company - **Financial Trust Company**

Kindly advise if there are any material changes to this account? ID docs are in order, please advise in case any SOW company that requires inclusion, is missing?

This account will be part of KYC of above entity.

Acct Holder – **Zorro Management. LLC**
Sole Member & Authorized Signature – **Jeffrey Epstein**
Authorized Signatories – **Darren D. Indyke, [REDACTED] & Brice M Gordon**

Upon review, completed checklist attached for reference. Kindly advise if there are any material changes to this account?