

Compliance

Passion to Perform

☞ Anastasia Pringle---12/19/2014 02:43:57 PM---Classification: For internal use only Hi Dmitri,

From: [REDACTED]
To: Manikandan [REDACTED] Dmitri Saks/db/dbcom,
Cc: [REDACTED]
Date: 12/19/2014 02:43 PM
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Hi Dmitri,

Can you please advise on the current status?

Thanks



Kind Regards,

Mrs. Anastasia Pringle

Deutsche Bank Securities Inc.
Client Onboarding Equities
5022 Gate Parkway, Suite 500, 32256 Jacksonville, FL, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

Please send all GED related emails to: [REDACTED]

Passion to Perform

From: Manikandan Muralidharan
Sent: Friday, December 19, 2014 10:32 AM
To: Dmitri Saks
Cc: Ged Account-Opening; US-CB S-AML; Anastasia Pringle
Subject: Fw: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Hi Dmitri,

We have got the alert review for the RDC alert received on Richard Kahn. During the alert review, we have found that