

To: Anastasia Pringle
Cc: Ged Account-Opening; US-CB S-AML; Manikandan Muralidharan
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Anastasia,

Thank you, this is helpful. However, a proof of appointment of the Board of Southern Trust Company, Inc. (Jeffrey Epstein, Darren K. Indyke, Richard Kahn) is still required. This is because they signed the UBO confirmation document stating that Mr. Epstein is the sole shareholder of Southern Trust Company, Inc.

Also, Darren K. Indyke and Richard Kahn need to be identified as control persons in addition to Jeffrey Epstein, and the PCR/RDC checks for them need to be run and documented. This is because they control Southern Trust Company, Inc. which is the sole member of Southern Financial LLC.

Thanks and regards,
Dmitri



Dmitri Saks
AML Compliance Officer

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Tel: [REDACTED]
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Passion to Perform

From: Anastasia Pringle
Sent: Thursday, December 18, 2014 12:24 PM
To: Manikandan Muralidharan; Dmitri Saks
Cc: Ged Account-Opening
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]
Importance: High

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Mani/Dmitri

Please see the attached documents.

Please advise if there is anything additional needed.

Hi Anastasia,

Per your request, please find attached the following:

- 1) Southern Trust: All incorporation documents
- 2) Southern Financial: Operating Agreement and incorporation documents

In each document package, please disregard the DB PWM brokerage account documents, as they are not relevant. Please reach out