

----- Forwarded by Manikandan Muralidharan/db/dbcom on 12/19/2014 08:13 PM -----

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Date: 12/19/2014 08:01 PM
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Hello Dmitri,

Please see the background checks for the additional 2 CP's.

Please give an update to this request as soon as you can.

Thank you



Kind Regards,

Mrs. Anastasia Pringle

Deutsche Bank Securities Inc.
Client Onboarding Equities
5022 Gate Parkway, Suite 500, 32256 Jacksonville, FL, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

Please send all GED related emails to: [REDACTED]

Passion to Perform

From: Dmitri Saks
Sent: Thursday, December 18, 2014 1:23 PM
To: Anastasia Pringle
Cc: Ged Account-Opening; US-CB S-AML; Manikandan Muralidharan
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Anastasia,

Thank you, this is helpful. However, a proof of appointment of the Board of Southern Trust Company, Inc. (Jeffrey Epstein, Darren K. Indyke, Richard Kahn) is still required. This is because they signed the UBO confirmation document stating that Mr. Epstein is the sole shareholder of Southern Trust Company, Inc.