

This requires a discussion between the AML and the Business Senior management. The delay is due to the challenge in coordinating this effort because of the Holiday schedule.

Thanks and regards,  
Dmitri



**Dmitri Saks**  
AML Compliance Officer

**Deutsche Bank Securities Inc.**  
60 Wall Street, New York, NY 10005-2836

*Passion to Perform*

---

**From:** Courtney B Fornal  
**Sent:** Monday, January 05, 2015 10:37 AM  
**To:** Dmitri Saks; Anastasia Pringle  
**Cc:** Ged Account-Opening; Manikandan Muralidharan; US-CB S-AML; Mark Whyman  
**Subject:** RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Dmitri-

Can you tell us why this is taking so long? It's been with AML with several weeks now, correct?



**Courtney Fornal**  
Global Markets Complex Equity

Deutsche Bank Securities Inc.  
60 Wall Street  
New York, NY 10005-2836

*Passion to Perform*

[eDisclaimer](#)