

From: Anastasia Pringle
Sent: Monday, December 22, 2014 05:23 PM
To: US-CB S-AML; Courteney B Fornal; Mark Whyman
Cc: Ged Account-Opening; Manikandan Muralidharan
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Courteney/Mark,

Who is the head of the business for this request.

Thanks



Kind Regards,

Mrs. Anastasia Pringle

Deutsche Bank Securities Inc.
Client Onboarding Equities



Please send all GED related emails to: [Redacted]

Passion to Perform

From: Dmitri Saks **On Behalf Of** US-CB S-AML
Sent: Monday, December 22, 2014 5:18 PM
To: Anastasia Pringle
Cc: Ged Account-Opening; Manikandan Muralidharan; US-CB S-AML
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: For internal use only

Hello Anastasia,

Could you please help to identify the Head of the Business through which this client is being onboarded. AML Compliance needs to have a discussion prior to approving this account.

Thank you.



US CB&S AML Compliance
AML Compliance

Deutsche Bank Securities Inc.