

Classification: For internal use only

Hi Anastasia,

As advised by AML Compliance in the below mail, we would be requiring a revised ownership confirmation to proceed further with the adoption of the captioned entity, Southern Financial LLC.

Request you to help us obtain the same.

Thanks and Regards,  
Manikandan Muralidharan



Manikandan Muralidharan  
U S - New Client Adoption

DBOI Global Services Private Limited  
Block 5, 6, 7, Velankani Tech Park, No.:43, Electronic City, Hosur Road, 560100 Bangalore, India

Backup details -

Ganesh Balaji

Bharath-Kumar MS

For Escalations please contact

Level 1 - Karthik

Level 2 - Balaji

*Passion to Perform*

----- Forwarded by Manikandan Muralidharan/db/dbcom on 12/18/2014 01:00 AM -----

From: Dmitri Saks/db/c  
To: Jofin Varghese/c  
Cc: CAGNY NCA, Manikandan Muralidharan/, Sujith Shetty/, US-CB  
S-AML, Anastasia Pringle/db/dbcom, Kumud Sharma/  
Date: 12/17/2014 10:08 PM  
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Jofin,

Please note that the provided Board resolution is the document of Southern Trust Company, Inc., and not Southern Financial LLC. Therefore, the following key statements made within the document will need to be validated: