

Kind regards,
Jofin Varghese

Client Onboarding - NY CAG
DBOI Global Services Private Limited
IB operations
Velankani Tech park, No 43, Electronic City, Hosur Road,, 560100 Bangalore, India

▼ Dmitri Saks---12/17/2014 08:30:54 PM---Classification: For internal use only Hi Jofin,

From:

To:

Cc:

12/17/2014 08:30 PM

Date:

RE: EDD package for the RID : Party name : Southern Financial LLC [I]

Subject:

Classification: **For internal use only**

Hi Jofin,

As stated in the attached, Jeffrey Epstein is the only control person, hence the "conflict of interest supposition" still exists. Besides, the supplied Board of Directors paper is neither notarized/witnessed/certified nor represents a formal filing document. In other words, it is not a strong legal proof. In such cases we recommend requesting a letter/email from an internal or external legal counsel.

Thanks and regards,
Dmitri



Dmitri Saks
AML Compliance Officer

Deutsche Bank Securities Inc.
60 Wall Street, New York, NY 10005-2836