

From: Anna-Sofiya Lupolover
Sent: Monday, December 18, 2017 10:53 AM
To: Stewart Oldfield <[REDACTED]>
Cc: Cynthia Rodriguez <[REDACTED]>; Bradley Gillin <[REDACTED]>
Subject: PRIIPs Client Notification [I]

Classification: **For internal use only**

Hello,

Below is list of clients identified by the WM Regulatory team as EEA clients, hence in scope of the **Packaged Retail Investment Product and Insurance-based Investment Product (PRIIPs) Regulation**.

Clients will be informed of the PRIIPs regulation, which goes live on January 3rd 2018 via the attached (draft) letter by mail, (presumably) this week. The letter will be mailed centrally based on a list compiled by Brokerage.

If you believe that your client is not EEA domiciled, please let me know ASAP.

Let me know if you have any questions. Thank you.

Account #	Customer EEA Country	Customer Owner	Account Address Line 1
[REDACTED]	Italy	Stewart Oldfield	THE HAZE TRUST

Kind Regards,
Anna-Sofiya



Anna-Sofiya Lupolover
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154

Email anna-sofiya.lupolover@db.com

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