

Other Deutsche Bank Alex. Brown Accounts? *

Yes No

Brokerage Accounts with other Firms *

Yes No

Firm Name(s)

SJSJ, CREDIT SUISSE

Large Trader Id

USA PATRIOT Act Info

Is this account owner or participant either:*

- a senior military, governmental or political official of any country
- closely associated with or an immediate family member of such an official

Yes No

Is this account owner or participant a governmental entity:*

- Any branch, department, agency, public authority, instrumentality, board, or commission of federal, state, or local government or municipality
- An employee of a governmental entity
- A public official (ie: any person elected or appointed to a public office)
- A public pension or retirement plan or other collective government fund
- An entity that receives public funding or is otherwise controlled by a government official or body
- A government - sponsored 403(b) or 457 plan account
- None of the above

Is this account owner or participant a public entity:*

- a department or agency of a government (i.e., Department of Housing, Agency on Aging)
- a commission, authority, or boards of a government. They can be independent of the executive branch (i.e. retirement systems, pension boards)
- created by state or local law (i.e. state universities)
- owned by a government?

Yes No

AML

Products/Services to be Offered *

- Brokerage
- Secondary Market Equities or Debt
- Structured Products
- DB Connect
- IPOs
- ESOP/ESPP
- Mutual Funds
- Foreign Securities
- Margin/Lending
- Investment Management
- Alternative Investment Funds
- Other

Anticipated Types of Transactions *

- Solicited Unsolicited

Does the CA plan to visit Foreign Jurisdictions? *

Yes No