

Products/Services to be Offered *

- Brokerage
- Secondary Market Equities or Debt
- Structured Products
- DB Connect
- IPOs
- ESOP/ESPP
- Mutual Funds
- Foreign Securities
- Margin/Lending
- Investment Management
- Alternative Investment Funds
- Other

Anticipated Types of Transactions *

- Solicited Unsolicited

Does the CA plan to visit Foreign Jurisdictions? *

- Yes No

Method of Communication (Check all that apply) *

- Telephone E-mail Personal Meetings Other

Is OFAC Check Complete *

- Match No Match

CIP Details

ID Type

Person

ID Number

R638940

Issue Date (yyyy-mm-dd)

2016-01-27

Expiration Date (yyyy-mm-dd)

2020-11-16

Country of Issue

Saudi Arabia

Beneficial Owner Owner Information

Prefix

Consul, Consul

First Name *

ADIL

Middle Name

HASAN M

Last Name *

ALMISHAL

Suffix

Has the CA met this person? *

- Yes No

Is this individual deceased? *

- Yes No

Date of Birth (yyyy-mm-dd) *

1986-12-31

Marital Status *

Married

Number of Dependents *

0

Tax ID type *

Foreign Assets

Ownership Percentage *

100