

Broker-Dealer - FINRA - Public Company

NOTE: Please refer to the following text as reference to the Deutsche Bank employee/employee-related questions below:
 Employee and Employee-Related Accounts - includes any account in which an employee has a personal financial interest; a legal right to effect transactions; or otherwise influences or exercises control over the account. These include:

- Any personal account of an employee;
- Any joint or tenancy-in-common account in which the employee is a participant;
 Any account for an individual who is supported, directly or indirectly, to a material extent by the employee (e.g., spouse, domestic partner or minor child);
- Any account for which the employee acts as the trustee, executor, guardian, personal representative, custodian or any other similar role; or
- Any account in which the employee has a direct or indirect financial interest.

Select Applicable: *

Employee of Deutsche Bank, its subsidiaries or affiliates?

Related to an employee of Deutsche Bank, its subsidiaries or affiliates?

Employee of ANOTHER Broker-Dealer?

Related to an employee at ANOTHER Broker-Dealer?

None of the above

Member/employee or related to member/employee of Stock Exchange/FINRA? *

Yes No

Is any authorized party now a senior corporate officer or director, or does any authorized party own 10% or more of any publicly-traded company's stock? *

Yes No

Source of Wealth

Owner Source of Wealth (Check all that apply) *

Inheritance or Gifts

Sale of Securities

Individual Earnings

Corporate Earnings

Sale of Business

Corporate Executive

Public or Govt Official

Sale of Real Estate

Professional Earnings

Business Owner

Sale of Other Assets

Lottery or Gaming

Other

The source of wealth for Lujain Holdings Limited is the entity's 100% beneficial owner Mr. Adil Hasan Almishal. Mr. Almishal is the Chairman of the Al Mishaal Group since 1992. The Al Mishaal Group is a supplier of high technology systems and services to the Kingdom of Saudi Arabia (KSA) (e.g., navigation.

USA PATRIOT Act Info

Is this account owner or participant either:*

- a senior military, governmental or political official of any country
- closely associated with or an immediate family member of such an official

Yes No