

to the account owner or participant a governmental entity:\*

- Any branch, department, agency, public authority, instrumentality, board, or commission of federal, state, or local government or municipality
- An employee of a governmental entity
- A public official (ie: any person elected or appointed to a public office)
- A public pension or retirement plan or other collective government fund
- An entity that receives public funding or is otherwise controlled by a government official or body
- A government - sponsored 403(b) or 457 plan account
- None of the above

**AML**

Products/Services to be Offered \*

- Brokerage
- Secondary Market Equities or Debt
- Structured Products
- DB Connect
- IPOs
- ESOP/ESPP
- Mutual Funds
- Foreign Securities
- Margin/Lending
- Investment Management
- Alternative Investment Funds
- Other

Anticipated Types of Transactions \*

- Solicited  Unsolicited

Does the CA plan to visit Foreign Jurisdictions? \*

- Yes  No

Methods of Communication (Check all that apply) \*

- Telephone  E-mail  Personal Meetings  Other

Is OFAC Check Complete \*

- Match  No Match

**CIP Details**

ID Type

Foreign

ID Number

R638940

Issue Date (yyyy-mm-dd)

2018-01-07

Expiration Date (yyyy-mm-dd)

2020-01-06

Country of Issue

United States

**Total Investments**

Indicate the Asset type which Client currently invests or owns (whether with DBSI or with another financial institution) and estimated value of these investments

	Investment	Value in \$ (Maximum Amount: 999,999,999)
<input checked="" type="checkbox"/>	Equities	20000000 <input type="button" value="v"/>
<input type="checkbox"/>	Options	<input type="button" value="v"/>
<input checked="" type="checkbox"/>	Fixed Income	40000000 <input type="button" value="v"/>
		<input type="button" value="v"/>