

Sweep Selections

- GLMD - GLS Managed Dollar Inv
- GLMI - GLS Managed Dollar Inst
- DBNY - DB AG New York Sweep Deposit Product

Client Adviser acknowledges that affirmative verbal consent was received from the client prior to sweep fund selection \*

Yes  No

Supporting Account Detail

How was the account acquired? \*

Retired or from AFS-04

Initial Transaction\*

Deposit Funds

Description of transaction \*

DEPOSIT

Is the account tax exempt? \*

Yes  No

Is account a Middle Market Institutional Account? \*

Yes  No

Miscellaneous Information

Accountant Name

Phone Number

Address Line 1

Address Line 2

City

State

Default Case

Postal Code

Attorney Name

Phone Number

Address Line 1

Address Line 2

City

State/province

Default Case

Postal Code

To complete form letters please fill in the following:

CA Name

BRIAN J HARRINGTON

Client Adviser Corporate and Functional Title

DIRECTOR

Client Adviser Phone Number

Is the CA registered in state(s) where all owners reside?\*

Yes  No

Is the CA registered in the state where portfolio manager is located? \*

Yes  No  N/A

Supporting Account Documentation

Please enter an Account Number and select the Retrieve Document button to display links to the account documentation stored in the Document Imaging Repository. All of the documents can be selected by hitting the Select All button or you can select individual documents by clicking the check boxes. These documents will be reviewed on-line by the Case Reviewer in lieu of paper documents. The account number and associated documents can be changed up until case submission

Account Number

Retrieve Document

Print Forms

Required Forms