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**From:** Stewart Oldfield [REDACTED]  
**Sent:** 6/11/2018 1:43:18 PM  
**To:** Martin Zeman [REDACTED]  
**CC:** Bradley Gillin [REDACTED]  
**Subject:** FW: USA Patriot Act Customer Identification Program Notification  
**Attachments:** BCM Letter 2017.pdf; DBSI ANNUAL DISCLOSURE 2014.pdf

Did they get this notice because of their GM account?

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**From:** Richard Kahn [REDACTED]  
**Sent:** Monday, June 11, 2018 1:40 PM  
**To:** Stewart Oldfield [REDACTED]  
**Subject:** Fwd: USA Patriot Act Customer Identification Program Notification

do you know what this relates to?

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
[REDACTED]

Begin forwarded message:

**From:** Nathan Head <[REDACTED]>  
**Subject:** USA Patriot Act Customer Identification Program Notification  
**Date:** June 11, 2018 at 1:35:47 PM EDT  
**To:** 'Richard Kahn' <[REDACTED]>  
**Cc:** Joshua Chin-San [REDACTED]



Dear Client – Southern Financial, LLC

**Subject:** USA PATRIOT Act Section 326 Customer Identification Program & Regulation GG

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