
From: Stewart Oldfield [REDACTED]
Sent: 8/31/2018 8:21:47 AM
To: Vijay-A Sawant [REDACTED]
CC: Richard Iarossi [REDACTED]; Mayur Rathod [REDACTED]; Bradley Gillin [REDACTED]
Subject: Re: 2018 Periodic Review of accts Mort, Inc [REDACTED] and Gratitude America Ltd [REDACTED] under the SOUTHERN FINANCIAL RELATIONSHIP

Do we need Mort to complete Gratitude? Also, what is SOS? Thanks

Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Aug 31, 2018, at 6:59 AM, Vijay-A Sawant [REDACTED] > wrote:

Good Morning Stewart,

After receipt of the COGS for Gratitude America in attached email, we confirm that only the following items are pending for KYC Case [REDACTED]

- SOS & COGS for **Mort Inc** dated in last 6 months. Entity domiciled in US Virgin Islands.

Once the above item is received, we will submit this case to the AML team for approval, thanks.

Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
[REDACTED]

From: Vijay-A Sawant
Sent: Wednesday, August 01, 2018 5:37 PM
To: Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>
Subject: 2018 Periodic Review of accts Mort, Inc [REDACTED] and Gratitude America Ltd