
From: Stewart Oldfield [REDACTED]
Sent: 9/5/2018 10:30:52 AM
To: Vijay-A Sawant [REDACTED]
CC: Richard Iarossi [REDACTED]; Mayur Rathod [REDACTED]; Bradley Gillin [REDACTED]
Subject: Re: 2018 Periodic Review of High Risked Accounts [REDACTED] ([REDACTED]), Butterfly Trust (GCIS#486426) and Neptune, LLC (GCIS#487264) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Great, thanks

Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Sep 5, 2018, at 9:34 AM, Vijay-A Sawant <[REDACTED]> wrote:

Many thanks Stewart!!

Just wanted to remind you that KYC Case#01977700 also requires your review and submission to AML as per attached email. This case has 2 other accounts, so we will have 5 high risked accounts submitted today. ☺

Kind Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
[REDACTED]
[REDACTED]

From: Stewart Oldfield
Sent: Wednesday, September 05, 2018 6:45 PM
To: Vijay-A Sawant <[REDACTED]>
Cc: Richard Iarossi <[REDACTED]>; Mayur Rathod <[REDACTED]>; Bradley Gillin <[REDACTED]>
Subject: Re: 2018 Periodic Review of High Risked Accounts [REDACTED] ([REDACTED]), Butterfly Trust (GCIS#486426) and Neptune, LLC (GCIS#487264) under the SOUTHERN FINANCIAL RELATIONSHIP [I]