

Thanks. Will submit this afternoon.

Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

On Sep 5, 2018, at 9:05 AM, Vijay-A Sawant <[REDACTED]> wrote:

Good Morning Stewart,

We have completed KYC Case#01977698 for the above high risked accounts and re-assigning this case to you for your approval.

Request you to kindly advise if any discrepancies, or else forward to the AML team for final approval, thanks.

Kind Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India

From: Vijay-A Sawant
Sent: Friday, August 31, 2018 6:04 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Richard Iarossi <[REDACTED]>; Mayur Rathod <[REDACTED]>;
Bradley Gillin <[REDACTED]>
Subject: RE: 2018 Periodic Review of High Risked Accounts [REDACTED]
([REDACTED]), Butterfly Trust (GCIS#486426) and Neptune, LLC (GCIS#487264) under
the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High

Hi Stewart,

Thanks for the confirmation on Butterfly Trust. We confirm that we do not require CDD form for this account & everything ok with this acct.