

whether we require CDD form for this account or not. NOTE: we require CDD form ONLY in case of Corporate Trusts.

- <!--[if !supportLists]--><!--[endif]--> Neptune LLC – Please note that Richard Kahn has been added as manager as per Operating Agreement even though he was NOT added as an RP in the previously approved KYC Case#01790739.

We will proceed to the next stages upon receipt of your confirmation of the above queries, thanks.

Kind Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063 , India

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**From:** Vijay-A Sawant

**Sent:** Tuesday, July 31, 2018 6:31 PM

**To:** Bradley Gillin <[REDACTED]>; Stewart Oldfield  
<[REDACTED]>

**Cc:** Mayur Rathod <[REDACTED]>

**Subject:** 2018 Periodic Review of High Risked Accounts [REDACTED]  
[REDACTED] ([REDACTED]), Butterfly Trust (GCIS#486426) and Neptune, LLC  
(GCIS#487264) under the SOUTHERN FINANCIAL RELATIONSHIP

**Importance:** High

Hello Brad/Stewart,

This review relates to above high risked three accounts due for remediation 08/31/2018.

Upon review of the docs available in the repositories, we have completed the attached checklist, main RP's also listed below for reference –

Acct Holder – **Butterfly Trust**

Grantor – **Jeffrey Epstein**

Trustees – **Harry Beller & Erika Kellerhals**

UBO's – [REDACTED]

[REDACTED], **Darren Indyke, Karyna Shuliak, Richard Kahn,**  
[REDACTED]

Following are list of required items –