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**From:** Richard Kahn [REDACTED]  
**Sent:** 3/7/2019 2:17:41 PM  
**To:** Liam Osullivan [REDACTED]  
**CC:** Stewart Oldfield [REDACTED]  
**Subject:** Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305 [C]

any updates  
please advise  
thanks

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
[REDACTED]

On Mar 6, 2019, at 6:00 PM, Liam Osullivan <[REDACTED]> wrote:

Classification: **Confidential**

Rich,

It looks like the transfer request came in today but will be rejected again for Tax ID mismatch. I will know more tomorrow.

Thanks

Liam

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**From:** Richard Kahn [REDACTED]  
**Sent:** Wednesday, March 06, 2019 9:22 AM  
**To:** Liam Osullivan <[REDACTED]>  
**Cc:** Stewart Oldfield [REDACTED]  
**Subject:** Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305 [C]

ok  
thank you

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
[REDACTED]