
From: Liam Osullivan [REDACTED]
Sent: 11/1/2018 5:43:05 PM
To: Stewart Oldfield [REDACTED]; Richard Iarossi [REDACTED]
CC: Bradley Gillin [REDACTED]
Subject: RE: Caterpillar KYC [I] [C]

Classification: **Confidential**

Thanks.

From: Stewart Oldfield
Sent: Thursday, November 01, 2018 5:40 PM
To: Richard Iarossi [REDACTED]; Liam Osullivan [REDACTED]
Cc: Bradley Gillin [REDACTED]
Subject: RE: Caterpillar KYC [I]

This is just to memorialize that this will happen at some point. Probably closer to end of year. Nothing to do now. Thanks

From: Richard Iarossi
Sent: Thursday, November 01, 2018 5:15 PM
To: Liam Osullivan [REDACTED]
Cc: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]
Subject: Caterpillar KYC [I]

Classification: **For internal use only**

Hi Liam,

Please see the attached KYC for 2017 Caterpillar Trust which will be looking to open a new brokerage account.

Thanks,
Rich

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
New York, NY 10154
[REDACTED]

Securities offered through Deutsche Bank Securities Inc.