

> fax [REDACTED]
> cell [REDACTED]
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>
> On Jul 13, 2017, at 11:07 AM, Vahe Stepanian <vahe.stepanian@db.com> wrote:
>>
>> Classification: Confidential
>>
>> What is ticker?
>>
>> -----Original Message-----
>> From: Richard Kahn [mailto:[REDACTED]]
>> Sent: Thursday, July 13, 2017 11:06 AM
>> To: Vahe Stepanian <[REDACTED]>
>> Cc: Stewart Oldfield [mailto:[REDACTED]]; Darren Indyke [mailto:[REDACTED]]; Jeanne Brennan
>> [mailto:[REDACTED]]
>> Subject: Re: [C]
>>
>>
>> we would like to sell 200 contracts of the August 13.00 strike puts at 70c or better in SFL please
confirm with darren thank you
>>
>>
>> Richard Kahn
>> HBRK Associates Inc.
>> 575 Lexington Avenue 4th Floor
>> New York, NY 10022
>> tel [REDACTED]
>> fax [REDACTED]
>> cell [REDACTED]
>>
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>>
>>
>>
>> On Jul 13, 2017, at 10:57 AM, Vahe Stepanian [mailto:[REDACTED]] wrote:
>>>
>>> Classification: Confidential
>>>
>>> Hi Rich - Southern Financial can trade listed options, including sold puts.
>>>
>>> On the FX question - currently SFLLC cannot buy OTC options. There is Dodd Frank related paperwork
that we would need on file before trading OTC options.
>>>
>>> Thank you,
>>> Vahe
>>>
>>> -----Original Message-----
>>> From: Richard Kahn [mailto:[REDACTED]]
>>> Sent: Thursday, July 13, 2017 10:27 AM
>>> To: Vahe Stepanian <[REDACTED]>
>>> Cc: Stewart Oldfield [mailto:[REDACTED]]
>>> Subject: [REDACTED]
>>>
>>> are we equip to sell puts?
>>>
>>> Richard Kahn
>>> HBRK Associates Inc.
>>> 575 Lexington Avenue 4th Floor
>>> New York, NY 10022
>>> tel [REDACTED]
>>> fax [REDACTED]
>>> cell [REDACTED]
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