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**From:** Anna-Sofiya Lupolover [REDACTED]  
**Sent:** 6/11/2018 11:08:31 AM  
**To:** Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]; Terri Sohrab  
[REDACTED]; Rodney Macelus [REDACTED]  
**Subject:** RE: FinCEN CDD Rule; Customer Mailing  
**Attachments:** Brad, Stew, Terri.xlsx; CDD Rule Mailing\_FINAL\_MRG\_Approved.docx

Hi Team,

If there are any updates to your list, please provide them by EOD. If I do not hear from you, all letters will be addressed to "Dear Client" and the contact person will be the customer owner for each. Thanks!

Kind Regards,  
Anna-Sofiya



Anna-Sofiya Lupolover  
Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management

Securities offered through Deutsche Bank Securities Inc.

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**From:** Anna-Sofiya Lupolover  
**Sent:** Monday, June 04, 2018 6:21 PM  
**To:** Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>; Terri Sohrab  
<[REDACTED]>; Hildegard Berrios <[REDACTED]>  
**Subject:** FW: FinCEN CDD Rule; Customer Mailing

Hi Team,

Please find your in-scope client list below. Addresses were pulled from various locations, primarily from FIS (if the client has a banking account), then from Net X (if the client has a brokerage account), and then from DB Force (if the client has neither). First, please VALIDATE the address populated. Then, if you would like to, please provide a personalized salutation in column L – if you do not provide one, the letter will be addressed "Dear Client." Lastly, if you would like someone other than the RM to be listed as the contact on the letter, please delegate a contact name and phone # in column M and N.

If you have already requested the FinCEN form from any clients in the list, please let me know, and I will remove them from this mailing.

Thank you!

Kind Regards,