

Hyperion Air, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP
Importance: High

Hi Richard/Stewart,

We have already received no material change confirmation from Brad as per attached email on the accounts listed in the subject line, upon which we have launched KYC [REDACTED] for their remediation. After this confirmation, the following items are required for this case to be completed successfully -

- For **J. Epstein Virgin Islands Foundation, Inc** - We found the IRS letter which confirms that this is a 501 (c) (3) entity but we are unable to obtain 'SoS' or screenshot from the IRS website. This could mean that this entity is no longer tax exempt and/or active. Could you provide the 'SoS' from IRS website or advise on this please?
- **Hyperion Air, LLC** – SOS from USVI website or COGS dated within 6 months

Above items should clear this case for approval submission, so kindly provide accordingly, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India
[REDACTED]
[REDACTED]

Thanks & Regards



Mayur Rathod

KYC Case Representative
Deutsche CIB Centre Private Limited
Wealth Management
Floor 1, B1, DB Centre Mumbai
Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India
[REDACTED]
[REDACTED]

From: Vijay-A Sawant
Sent: Monday, July 30, 2018 4:20 PM
To: Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>
Subject: 2018 Periodic Review of High Risked Accounts J. Epstein Virgin Islands Foundation, Inc ([REDACTED]) & Hyperion Air, LLC ([REDACTED])
Importance: High