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**From:** Vijay-A Sawant [REDACTED]  
**Sent:** 7/31/2018 2:13:00 AM  
**To:** Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]  
**CC:** Mayur Rathod [REDACTED]  
**Subject:** FW: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc (GCIS: [REDACTED]), Epstein, Jeffrey E. (GCIS: [REDACTED]) and Zorro Management, LLC (GCIS: [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP  
**Attachments:** RE\_Clarification Required on CDD Form - Section C (24.7 KB).msg  
**Importance:** High

Hello again,

As per attached confirmation from Morgan, we would no longer require the revised CDD forms as indicated in previous email. Following is the summary of the revised items required –

**Southern Trust Company, Inc**

- 1) Material Change confirmation required and whether RP's identified are correct or not?
- 2) SoS for acct holder from BVI website

**Epstein, Jeffrey E.**

- 1) Material change confirmation require and whether RP's identified are correct?
- 2) Any additional SOW companies to include besides Financial Trust Company

**Zorro Management LLC**

- 1) Material Change confirmation required and whether RP's identified are correct or not?
- 2) SoS for acct holder from BVI website

I will launch case upon your confirmation of the above so your quick response will be highly appreciated, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited – Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063 , India  
[REDACTED]  
[REDACTED]

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**From:** Vijay-A Sawant  
**Sent:** Monday, July 30, 2018 2:39 PM  
**To:** Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>  
**Cc:** Mayur Rathod <[REDACTED]>  
**Subject:** 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc (GCIS: [REDACTED]), Epstein, Jeffrey E. (GCIS: [REDACTED]) and Zorro Management, LLC (GCIS: [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP  
**Importance:** High

Hello Brad/Stewart,