

Sent: Wednesday, July 25, 2018 7:37 AM

To: Kshitij Golani <[REDACTED]> <mailto:[REDACTED]>; Derek St-Hilaire <derek.st-hilaire@db.com> <[REDACTED]> >
Subject: FinCen query - Address fields [I]

Classification: For internal use only

Hi Kshitij, Derek,

Good morning!!

I have a query related to address fields on FinCen CDD form.

1. For main Entity - if the address on the form differs from the one on the KYC. If FO checks with the client that the one on the form is the mailing address. Can we add case comments and proceed to submit the KYC.
2. Similarly for the UBO or person in Control - if the address differs and happens to be an alternate address or mailing address. Can we add case comments after confirming with FO.

Please advise.

Thank you.

Kind regards,

Vinita Advani

KYC Case Representative Manager

Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
Tel. [REDACTED]
Mobile [REDACTED] >

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.