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Cc: Paul Barrett <[REDACTED]>, Martin Zeman <[REDACTED]>, Liam Osullivan <[REDACTED]>, Davide-A Sferrazza <[REDACTED]>, Nina Tona <[REDACTED]>, Stewart Oldfield <[REDACTED]>  
**Subject:** RE: Southern Financial - Client Confirmation [C]

Thanks Richard, we let you know if we need anything else at this stage.

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**From:** Richard Kahn <[REDACTED]>  
**Sent:** Thursday, March 29, 2018 11:13 AM  
**To:** Xavier Avila <[REDACTED]>  
**Cc:** Paul Barrett <[REDACTED]>; Martin Zeman <[REDACTED]>; Liam Osullivan <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; Nina Tona <[REDACTED]>; Stewart Oldfield <[REDACTED]>  
**Subject:** Re: Southern Financial - Client Confirmation [C]

attached info is correct  
thank you

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]  
cel [REDACTED]

On Mar 28, 2018, at 6:04 PM, Xavier Avila <[REDACTED]> wrote:

Richard, as discussed today, in order for the Southern Financial account in Global Markets can get KYCed and we can extend product adoptions to a couple of other asset classes (credit and equity OTC derivatives), we need you to confirm that the below information and the attached documents are reviewed and come from you. Can you pls review and if you do not have any concerns, respond to this email? Thanks. Xavier

- **W-9**
- **Organization Chart**
  - Per attachment "Southern Financial Operating Agreement" (pages 1, 16)
    - Southern Trust Company Inc. is the sole member of Southern Financial LLC
    - Jeffrey Epstein is the sole shareholder of Southern Trust Company Inc.
- **UBOs (Owners) that own greater than 10%**
  - Jeffrey Epstein is the sole UBO (see "Organization Chart" above)
- **The source of funds for the UBOs (owners) that are greater than 10%**